

Security Compliance Feature in CyberProtectSM

Ease the FFIEC Examination Burden by Providing the Required FFIEC Controls Using This Automated Reporting Feature

Facing a Federal Financial Institutions Examination Council (FFIEC) assessment may feel like a daunting task for your organization to navigate. Controls mapping and detailed compliance reports in CyberProtect from Fiserv help to automate and simplify this process.



Most financial institutions struggle to gather required information, resolve previous findings, and shore up organizational security in the weeks and months leading up to the annual assessment. Even if the institution has gathered documentation and has implemented the previously recommended fixes, many remain unsure as to whether they will successfully pass their annual assessment.

CyberProtect Compliance Feature

CyberProtect, offered in partnership with BlueVoyant, accelerates the maturity of your financial institution’s security program and eases the FFIEC examination burden by providing approximately 100 of the required FFIEC controls assessed by the FFIEC Cybersecurity Assessment Tool (CAT). The compliance feature included with CyberProtect eases compliance burdens and provides your financial institution with advanced managed detection and response, network monitoring, deception technology, around-the-clock SOC monitoring, remediation and threat intelligence. This means you can focus valuable resources on your business instead.

Future releases will provide support for additional controls. Unprecedented visibility and management of your cybersecurity program is now available through CyberProtect policy and process documentation, detailed scheduled reporting and on-demand self-assessments.

Policy and Process Documentation

A major cornerstone of any FFIEC-compliant organization is the creation, management and measurement of the policies and processes that comprise the institution’s security program. CyberProtect will produce a comprehensive document that details the controls supported by CyberProtect along with sample evidence to support the assessment process throughout the calendar year.

Supported FFIEC Controls

D1.G.Ov.Int.1	D2.MA.Ma.B.2	D3.PC.Im.E.2	D3.DC.Th.Inn.1	D3.DC.Ev.E.1	D5.DR.De.Int.1	DS.ER.Es.B.3	DS.DR.De.Int.4
D1.G.Ov.Int.2	D2.MA.Ma.E.1	D3.PC.Im.E.3	D3.DC.Th.Inn.2	D3.DC.Ev.Int.2	D5.DR.De.Int.2	DS.ER.Es.B.4	DS.DR.De.Int.5
D1.G.SP.B.3	D2.MA.Ma.E.2	D3.PC.Am.B.12	D3.DC.An.B.1	D3.DC.Ev.A.1	D5.DR.De.Int.3	DS.ER.Es.E.3	DS.DR.De.Inn.1
D1.G.IT.B.1	D2.MA.Ma.E.3	D3.PC.Am.B.13	D3.DC.An.B.3	D3.DC.Ev.A.3	D5.DR.De.Int.4	DS.ER.Es.A1	DS.DR.Re.E.1
D1.G.IT.B.2	D2.MA.Ma.E.4	D3.PC.Am.E.1	D3.DC.An.B.4	D3.DC.Ev.A.4	D5.DR.De.Int.5	DS.ER.Es.A2	DS.DR.Re.E.2
D1.G.St.E.1	D2.MA.Ma.Int.1	D3.PC.Am.Int.2	D3.DC.An.B.5	D3.CC.Re.Int.1	D5.DR.De.Inn.1	DS.IR.PI.B.1	DS.DR.Re.E.7
D1.R.St.E.3	D2.MA.Ma.Int.4	D3.PC.Am.Int.4	D3.DC.An.E.2	D3.CC.Re.Int.3	D5.DR.Re.B.1	DS.IR.PI.B.2	DS.DR.Re.E.8
D2.TI.Ti.B.1	D2.IS.Is.B.1	D3.PC.De.B.1	D3.DC.An.E.3	D3.DC.Ev.Int.1	D5.DR.Re.E.2	DS.IR.PI.B.3	DS.DR.Re.Int.1
D2.TI.Ti.B.2	D2.IS.Is.B.3	D3.PC.De.E.4	D3.DC.An.E.4	D5.IR.PI.B.1	D5.DR.Re.E.6	DS.IR.PI.B.4	DS.DR.Re.Int.3
D2.TI.Ti.B.3	D2.IS.Is.E.2	D3.PC.De.E.5	D3.DC.An.Int.3	D5.IR.PI.B.2	D5.DR.Re.E.7	DS.IR.PI.Inn.1	DS.DR.Re.A.1
D2.TI.Ti.E.1	D3.PC.Im.B.1	D3.DC.Th.B.2	D3.DC.An.Int.4	D5.IR.PI.B.3	D5.DR.Re.E.8	DS.IR.PI.Inn.2	DS.DR.Re.A.2
D2.TI.Ti.Int.1	D3.PC.Im.B.2	D3.DC.Th.B.4	D3.DC.An.Int.5	D5.IR.PI.B.4	D5.DR.Re.Int.1	DS.IR.Te.E.2	D5.ER.Es.B.1
D2.TI.Ti.Int.3	D3.PC.Im.B.3	D3.DC.Th.E.3	D3.DC.An.Int.6	D5.IR.PI.Inn.1	D5.DR.Re.Int.3	DS.DR.De.B.1	D5.ER.Es.B.3
D2.TI.Ti.A.1	D3.PC.Im.B.4	D3.DC.Th.E.4	D3.DC.Ev.B.1	D5.IR.PI.Inn.2	DS.ER.Re.A.1	DS.DR.De.B.3	D5.ER.Es.B.4
D2.TI.Ti.A.2	D3.PC.Im.B.5	D3.DC.Th.E.5	D3.DC.Ev.B.2	D5.IR.Te.E.2	DS.ER.Re.A.2	DS.DR.De.Int.1	D5.ER.Es.E.3
D2.TI.Ti.A.3	D3.PC.Im.B.7	D3.DC.Th.Int.2	D3.DC.Ev.B.3	D5.DR.De.B.1	DS.ER.Es.B.1	DS.DR.De.Int.2	D5.ER.Es.A.1
D2.MA.Ma.B.1	D3.PC.Im.B.8	D3.DC.Th.A.1	D3.DC.Ev.B.4	D5.DR.De.B.3	DS.ER.Es.B.2	DS.DR.De.Int.3	D5.ER.Es.A.2

Detailed Reports

CyberProtect measures the success and maturity of your FFIEC-compliant cybersecurity program by providing robust data collection, search ability and detailed reports mapped to specific FFIEC control areas. Provide your auditors with the information they need from a single authoritative source to streamline all FFIEC assessment information requests.

Self-Assessment Wizard

The self-assessment wizard enables institutions to manage their program and gain visibility into the organization's security risk, program maturity and FFIEC assessment readiness throughout the year and, more importantly, leading up to the scheduled audit. This feature takes what has been a tedious manual process and automates compliance tracking to help save time, ensure accuracy and simplify the delivery of compliance reporting.

Compliance Assessment Feature Snapshot

The screenshot displays the 'FFIEC CAT Maturity Assessment' interface. On the left is a navigation sidebar with categories like Dashboard, Control, and Report. The main area shows a 'Governance' section with a progress indicator of 0/3. Below this, a table lists various controls (e.g., D3.CN.01, D3.CN.02, D3.CN.03) with their descriptions and status (e.g., Unassessed). A detailed view of control D3.CN.03 is shown, including a 'Response' section with radio buttons for 'Yes', 'No with Compensating Controls', and 'No', and a 'Reference' section with links to related documents.

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Security Expertise

It is costly to hire and retain full-time specialized security experts to effectively deploy, integrate and manage the numerous security products to meet ongoing control requirements. Additionally, your organization requires detailed security program documentation to effectively operationalize your security tools. This compliance feature is offered standard with CyberProtect, reducing both operational and compliance burdens on your security organization.

Control Mapping

With deployed security tools and policy documents defined, it can be difficult and time consuming to map existing tools to the 495 unique FFIEC CAT and National Credit Union Administration Automated Cybersecurity Examination Tool (NCUA ACET) controls. Our compliance module maps our service to the controls proactively so you can be confident you have satisfied the requirements and are aware of possible gaps.

Evidence Management

Documenting evidence and preparing attestation content can make the audit process a difficult and time-consuming endeavor. The process may also involve individuals and teams outside of IT and security that could introduce additional complexity and time. Our compliance and reporting feature serve as a one-stop shop for gathering evidence required for certain controls.

Connect With Us

For more information about CyberProtect, call us at 800-872-7882, email getsolutions@fiserv.com or visit [fiserv.com](https://www.fiserv.com)



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