

 Solution

Watch List Filtering in AML Risk Manager

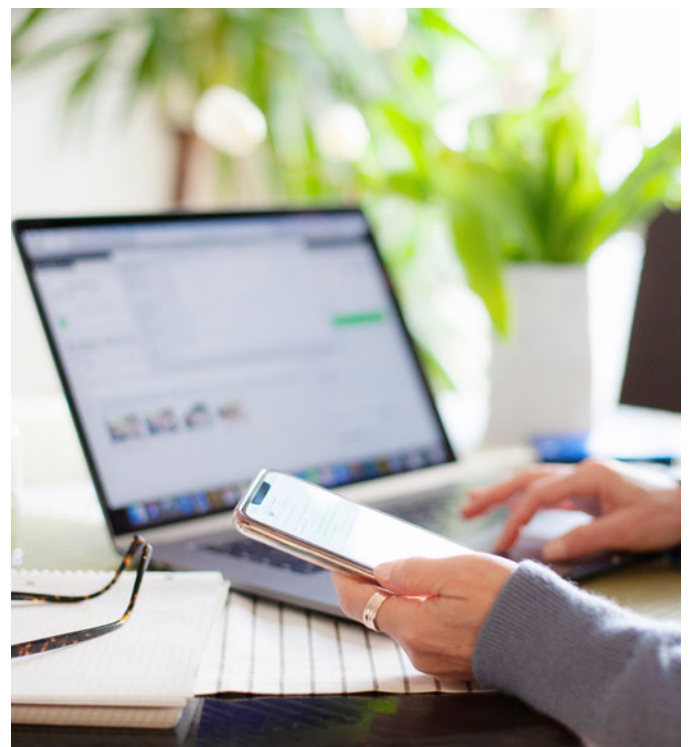
Comprehensive Watch List Filtering and Sanctions Screening Solutions for Financial Institutions

Effective sanctions and politically exposed person (PEP) screening ensures your financial institution adheres to regulations. AML Risk Manager from Fiserv provides award-winning list matching capabilities to help meet your institution's anti-money laundering (AML) and Combating the Financing of Terrorism (CFT) compliance requirements.

With increasingly complex watch list and sanctions screening regulations enforced across the globe, list matching technology must be able to adapt and scale to meet the challenges that compliance departments face on a daily basis.

Successfully Meet Your Challenges

The watch list filtering capabilities in AML Risk Manager provide innovative matching analytics and robust alert and case management features to help your institution mitigate compliance risks, reduce operational costs and achieve long-term regulatory, operational and IT objectives.



Smart Screening Technology

The watch list filtering capabilities in AML Risk Manager offer high-volume processing and sophisticated matching configuration options for financial institutions of all sizes that require flexibility, scalability and fast response times. These options ensure that financial institutions are able to meet the standards set forth by regulators and provide proper risk management.

Advanced Matching techniques in our solution include:

- Straight Compare and Straight Compare Reverse
- Longest pattern
- Soundex Difference/Phonetic Matching
- Concatenated Name
- Synonym Matching
- Fuzzy Text Matching – Levenstein
- Transliteration

Complete Screening Coverage and List Support

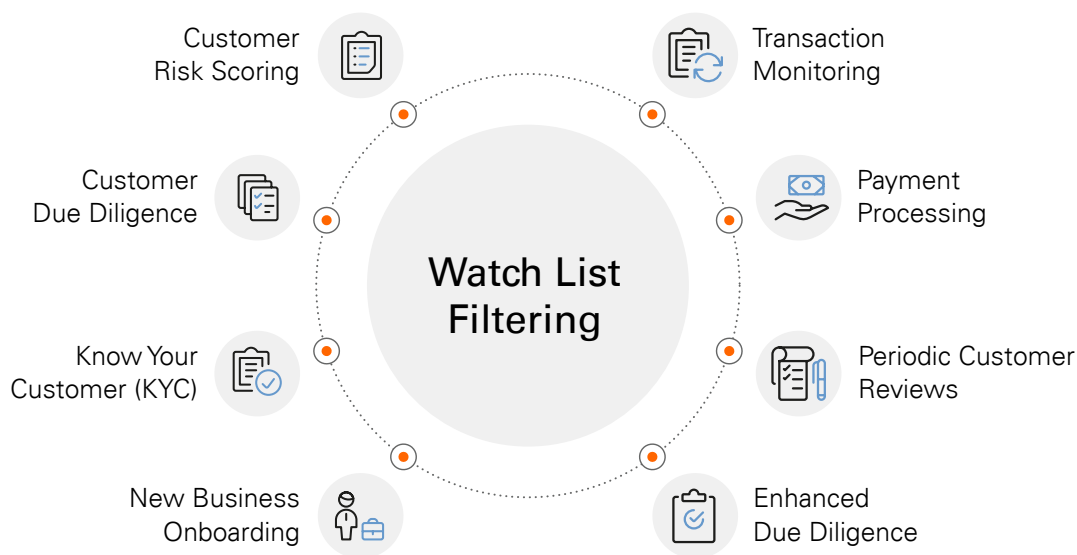
Our watch list filtering solution allows our clients to screen data on:

- Customers
- Accounts and policies
- Beneficiaries
- Counterparties
- Signers
- Employees
- Vendors
- Payments
- Trade messages

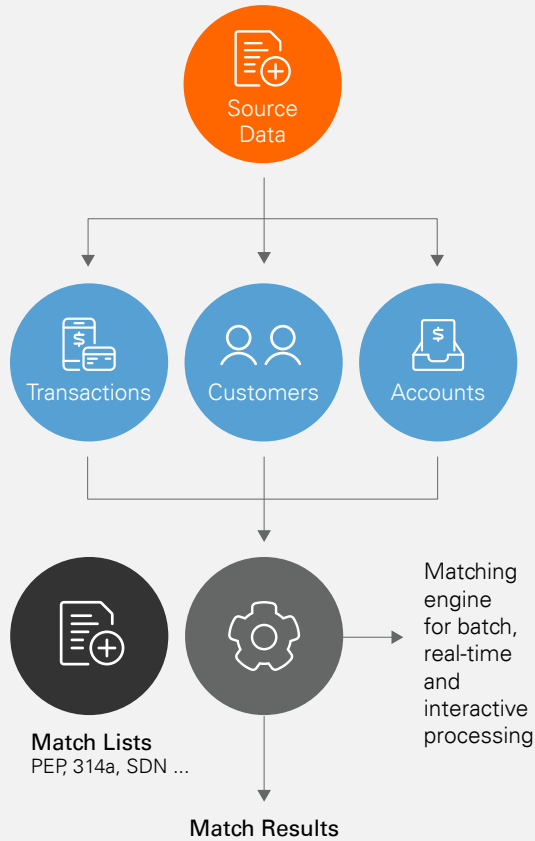
Offering full list support:

- Government agency lists (including OFAC, FinCEN, EU, OSFI and HM Treasury)
- Lists provided by industry leading vendors (including Dow Jones, Accuity and Thomson Reuters)
- Custom Lists

To ensure comprehensive compliance, our watch list filtering capabilities can be applied to every process in an AML program.



AML Risk Manager: Investigate, Act, Resolve



Flexible Screening Options

Multiple processing options will fulfill both basic and highly complex list matching requirements. Batch, real-time and interactive matching methods are provided to help financial institutions of all sizes meet their specific regulatory and risk mitigation requirements.

List Management and Support

A wide selection of lists are supported for screening transactions, accounts and customers. Lists provided by government agencies (including OFAC, FinCEN, European Union e-CTFSL and U.N. sanctions) as well as lists provided by industry-leading third parties (such as Dow Jones, Accuity and Refinitiv) are fully supported to meet your business and regulatory requirements.

Smart Screening Technology

A combination of next-generation scoring and matching algorithms – within an innovative processing architecture – ensures fast and accurate matching results while reducing false positives.

Effective Investigation Tools


Intuitive case management, navigation and user-interface assets ensure alerts are investigated and resolved quickly and accurately. Functionality to minimize false positives and consolidate alerts for multiple matches within a single transaction helps ensure your cases are efficiently investigated for rapid resolution.


About AML Risk Manager

AML Risk Manager is an industry-leading anti-money laundering solution that is comprehensive, efficient and adaptive to uncover even the most sophisticated crime schemes. Highly regarded by industry analysts for its superior functionality, AML Risk Manager recently received 2018 IDC Leader Awards for Worldwide AML Solutions and Worldwide KYC Solutions and Celent awards for XCelent Service 2018, Transaction Monitoring and XCelent Service 2018, Watch List Filtering.

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